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Peter K. Trzyna (Reg. No. 32, 601), hereby certify that this paper or fee is being filed by facsimile with a confirmation copy being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on the date set forth below:

Signed:

Peter K. Trzyna (Reg. No. 32,601)

Date:

November 19, 2002

PATENT

Paper No.

File: Newmrkt-P98-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor	:	Anthony F. Herbst and Wayne F. Perg
Serial No.	:	09/197,908
Filed	:	November 23, 1998
For	:	DIGITAL COMPUTER SYSTEM AND METHODS FOR MANAGING AN AUCTION MARKET FOR PREFERRED-RETURN SECURITIES
Group Art Unit	:	3624
Examiner	:	Geoffrey R. Akers

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

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GROUP 3600

NOTICE OF APPEAL

S I R:

Applicant hereby submits this Notice of Appeal to appeal to the Board of Patent Appeals from the decision of the Examiner mailed July 25, 2002, finally rejecting all claims.

The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date:

November 19, 2002

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